



RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

Catholic Archdiocese of Seattle
VERSION 1.09 (2021)

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS




AUTHORIZATION:


Version 1.9 of the Records Retention Schedule for Archdiocesan Catholic Schools has been approved by the following:



Seth Dalby, Director of Archives/RM
(March 8, 2012)



Mary Santi, Chancellor
3/8/12



Patrick Crowley, General Counsel

DOCUMENT HISTORY

TITLE: RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

PUBLICATION DATE: APRIL 2021

RELATED POLICIES AND PROCEDURES: TRANSITORY RECORDS POLICY (SEE PG.10)

REVISIONS: SEE APPENDIX C FOR REVISIONS AND VERSIONS

REVIEW CYCLE: ANNUAL

CONTACT: SETH DALBY SETH.DALBY@SEATTLEARCH.ORG (206) 382-4352

TABLE OF CONTENTS

WHAT IS A FUNCTIONAL RECORDS RETENTION SCHEDULE?7

HOW DO I USE THIS SCHEDULE?7

EXPLANATION OF FUNCTIONS8

EMAIL RETENTION9

LEGAL HOLDS: PRESERVING RECORDS DURING LITIGATION OR INVESTIGATIONS9

SUBSTANTIVE & TRANSITORY RECORDS GUIDELINES10

GUIDELINES FOR MANAGING THE ELECTRONIC RECORDS OF SEPARATED EMPLOYEES13

100 ACADEMIC ADMINISTRATION17

 105 Course Planning & Development 17

 110 Student Evaluation 17

 115 School Accreditation 18

200 ADMINISTRATION MANAGEMENT19

 205 Administration, General 19

 210 Boards, Committees, Commissions, Councils & Lay Groups 20

 215 Contracts/Agreements 20

 220 Establishment 21

 225 Events, Field Trips & Retreats 21

 230 Policies, Guidelines & Procedures 22

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

235 Risk Management & Insurance 23

300 ASSET MANAGEMENT.....24

305 Design & Construction 24

310 Inventory 25

315 Maintenance 25

320 Operations 26

325 Security 26

400 FINANCIAL ADMINISTRATION27

405 Accounting 27

410 Authorization 27

415 Banking..... 28

420 Budget Development 28

425 Development & Fundraising 28

430 Grants..... 29

435 Payroll 30

440 Student Tuition 30

445 Tax..... 31

500 PERSONNEL ADMINISTRATION32

505 Personnel Management..... 32

510 Recruitment & Hiring 34

600 LITURGICAL ADMINISTRATION34

700 PERFORMANCE & THEATRICALS.....35

800 INFORMATION MANAGEMENT35

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

805 Library Administration 35

810 Marketing..... 35

815 Public Statement..... 36

820 Publications & Memorabilia..... 36

825 Research & Planning 37

900 SPIRITUAL DIRECTION37

1000 STUDENT SERVICES37

1005 Athletics 38

1010 Counseling..... 38

1015 Discipline 38

1020 Enrollment 39

1025 Health Services..... 40

1100 TECHNOLOGY MANAGEMENT40

1200 TRAINING41

1300 LEGAL.....43

1305 Counsel..... 43

1310 Litigation Support..... 43

GLOSSARY44

APPENDIX A: LEGAL CITATIONS & REFERENCES DISCLAIMER46

APPENDIX B: PERSONNEL FILES: EMPLOYEES47

APPENDIX C: REVISIONS & VERSIONS 50

SUBJECT INDEX51

WHAT IS A FUNCTIONAL RECORDS RETENTION SCHEDULE?

The *Records Retention Schedule for Archdiocesan Catholic Schools* (hereafter called the *Schedule*) is a document that lists all of the records series produced throughout the diocese. It explains how long each records series must be kept and describes what to do with the records once their retention period is over. It is organized by business or pastoral function instead of by *hierarchy* or *department* as has been done in the past. This new method more accurately reflects the functions and mission of our schools regardless of position, department, or office.

A functional records retention schedule allows a great deal of flexibility for the inevitable changes that occur in organizations. The major functions will remain relatively stable over time despite changing office responsibilities.

Another benefit of the functional approach to scheduling records series is that all records series are centralized in one document. Other institutions often require users to consult individual schedules in addition to a general schedule (containing institutionally common records series).

Offices create many common record types (e.g., subject files, meeting records, training files, event records, etc.). By grouping those common records types together in one document, this *Schedule* facilitates efficient staff use and also improves the management and continued maintenance of records.

HOW DO I USE THIS SCHEDULE?

The *Schedule* is organized by function, **not** by department. By looking at the table of contents, you can place your records first within one of the 16 main functions. Think how the record you want to find is used. Does it have to do with an Asset? Does it support Administration? Once you've isolated the main function to which your records relate, refer to the subdivisions called "Activities" within each function to further refine your search. You can also refer to the [index](#) to help you find the correct records series.

Next, determine whether you have an "official record" or an information copy. Do others in your office retain the same record? Are you the main recipient of an email or have you been carbon copied (cc'd)? Talk to your supervisor or workgroup to determine if your copy is the official record and not just an informational copy. Informational copies can be deleted when they are no longer needed for business or an action has been taken. For further assistance on using the schedule, please contact [Archives & Records Management](#).

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

EXPLANATION OF FUNCTIONS

| | |
|--|--|
| <p><u>100 ACADEMIC ADMINISTRATION</u> The function of assessing students' academic progress and developing academic courses and lesson plans.</p> | <p><u>800 INFORMATION MANAGEMENT</u> The function of managing school information, including forms and publications, mail services, records administration, marketing, and public relations.</p> |
| <p><u>200 ADMINISTRATION MANAGEMENT</u> The function of administering school programs, offices, boards, groups, and events.</p> | <p><u>900 SPIRITUAL DIRECTION</u> The function of providing spiritual guidance to individuals, couples, and families.</p> |
| <p><u>300 ASSET MANAGEMENT</u> The function of managing the physical assets of a school (facilities, land, equipment, vehicles, and supplies). Includes: asset acquisition, maintenance, inventories, sale, and disposal.</p> | <p><u>1000 STUDENT SERVICES</u> The function of providing assistance to students regarding issues of discipline; physical, mental, and emotional health; and enrollment. Also includes the coordination of student athletics.</p> |
| <p><u>400 FINANCIAL ADMINISTRATION</u> The function of managing financial resources, obligations, and monetary infrastructure.</p> | <p><u>1100 TECHNOLOGY MANAGEMENT</u> The function of acquiring, developing, implementing, testing, supporting, and monitoring computer, networking, imaging, telecommunication, and other technologies.</p> |
| <p><u>500 PERSONNEL ADMINISTRATION</u> The function of recruiting, selecting, assessing, and managing employees and volunteers.</p> | <p><u>1200 TRAINING</u> The activity of organizing, coordinating, and administering training programs such as conferences, seminars, certifications, classes, workshops, and similar educational gatherings. Does not include academic instruction.</p> |
| <p><u>600 LITURGICAL ADMINISTRATION</u> The function of coordinating sacred musical performances and liturgical celebrations.</p> | <p><u>1300 LEGAL</u> The function of managing the civil legal affairs of the Archdiocese, including: litigation and legal advice. Includes internal and outside counsel.</p> |
| <p><u>700 PERFORMANCE & THEATRICALS</u> The function of coordinating secular music and theatrical performances. Includes: pageants, plays, and musicals. Does not include liturgical musical performances.</p> | |

EMAIL RETENTION

Email messages are records which may contain evidence of school actions, decisions, approvals, or transactions. Email does **NOT** have a blanket retention period. Like all records, they are scheduled according to the business or pastoral function to which they relate and not by their format. Many of the records series listed in this schedule are likely to contain email. The *content* of the email determines whether and how long a particular email message should be retained. For example, if an email message is related to a project, then refer to the retention period for projects. Please refer to the [Transitory Records Guidelines](#) for information on what type of material can be safely destroyed when obsolete or superseded. Please contact [Archives & Records Management](#) for more information.

LEGAL HOLDS: PRESERVING RECORDS DURING LITIGATION OR INVESTIGATIONS

When litigation, an audit, or investigation occurs or is reasonably anticipated, a written notice (referred to as a “Litigation Hold Notice” or “Legal Hold”) will be issued to appropriate staff. All records, whether official records, information copies, working documents, or transitory records, potentially relevant to the matter must be retained until the Litigation Hold is terminated. The effect of this notice is to freeze or suspend the destruction or alteration of records, electronically stored information, and other materials identified in the notice.

Records relevant to the matter may **not** be destroyed – even if the retention period in this retention schedule has expired or expires during the Litigation Hold – until the action is resolved and a notice terminating the Hold has been issued. There are serious legal consequences for individuals that destroy or alter records under a Litigation Hold or know of a pending issue and do not halt destruction.

SUBSTANTIVE & TRANSITORY RECORDS GUIDELINES

TRANSITORY RECORDS

Transitory records are routine documents of temporary and short-term value in any format that are made or received in the course of diocesan business or pastoral work. Transitory records are not included in the Records Retention Schedule.

- Transitory records can be destroyed once you have used them or acted on them because they don't contain information that will be needed in the future.

- Transitory records do **not**
 - set policy,
 - establish guidelines or procedures,
 - identify the person(s) who participated in a business activity or had knowledge of an event, and/or
 - make or document decisions.

Types of Transitory Records

1. Transitory Correspondence

- Memos and postings that do **not** relate to the functional responsibility of the department (e.g., announcements of meetings, reservations, confirmations, itineraries, acknowledgements, form-letter thank you notes, etc.)
- Notes and memos of short-term interest involved in answering routine requests for information or publications, in requesting or transmitting routine data to other offices, in acknowledging materials/information received, or in scheduling meetings, reservations, conferences, etc.
- Letters of transmittal that do not add information to the transmitted materials
- Routine requests for information that require no administrative action, policy decision, special compilation or research

2. Duplicates

- Extra copies of correspondence, forms, bulletins, statistics, reports, hardcopy mailing lists, etc. used only for reference or informational distribution

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

- Duplicate/extra copies of records created and circulated for reference purposes, convenience, reading copies or follow-up; branch-wide memos; "all staff" communications; notices of special events; and routine administration issues
- Copies of electronic publications produced outside the office and no longer needed
- Electronic documents which are used to produce a hard copy version which is maintained in the hard copy files
- Email CCs (carbon copies) and BCCs (blind carbon copies) where you have been copied for informational purposes only and are not expected to take action yourself

3. Personal Documents

- Email messages and documents used for casual or personal communications
- To-do lists

4. Drafts and Working Materials

- Preliminary drafts and annotated drafts where annotations become a part of a subsequent version and are not evidence of decisions related to the evolution of the final document
- Rough notes, preliminary or informal drafts used in the preparation of correspondence, reports, memoranda, statistics, or other records that are of no value in documenting data collection or in showing how policies or programs were developed or implemented

5. Other

- Document errors: incorrect versions of documents, forms or reports that had to be regenerated in order to correct errors in typing, data entry, spelling, grammar, or format
- Information or forms which are used to compile or update another record and which will be obsolete once the update or data entry is validated and backup procedures are completed. For example: parishioner registrations.
- Informational resources about outside organizations, individuals, or subjects once these resources have served their purpose
- Letters of transmittal which do not add any information to the transmitted materials
- Copies of financial documents already maintained by Finance and Administration
- Miscellaneous notices or memoranda which do not relate to the functioning of the agency (notice of community affairs, employee meetings, holidays, etc.)
- Preliminary drafts, reports, work sheets, spreadsheets, presentations, and informal notes which do not represent basic steps in the preparation of record documents

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

SUBSTANTIVE RECORDS

Substantive records are documents that are made or received in the normal course of diocesan business or pastoral work. These records, however, are important to the ongoing functioning of the school. They tell us why things were done, why decisions were made, how money was spent, etc. Substantive records are included in the records retention schedule.

Substantive records are documents in any format that:

- relate to the key activities undertaken to accomplish a mission or mandate,
- give evidence of decisions and policies,
- prove that *significant* transactions occurred (or did not occur),
- provide information needed for future decision/policy making, and
- support programs and projects.

Examples of substantive include:

- Official copies of agendas and meeting minutes of management teams, committees, councils, and administrative groups
- Correspondence related to official business communications at the executive level, to and from others inside and outside the organization
- Documentation of an office or department's decisions and operations
- Final reports or recommendations of diocesan agencies
- Grant proposals, approvals, reports
- Legal, financial, and property records
- Official copies of policy, program, and procedure directives, such as manuals, bulletins, orders, rules, directives, or policy statements
- Official copies of press releases
- Transmittal emails containing no substantive information but which are sent to provide attachments that fall into one of the categories above

GUIDELINES FOR MANAGING THE ELECTRONIC RECORDS OF SEPARATED EMPLOYEES

1. General

- 1.1 The Archdiocese of Seattle defines *records* as documents in any format that are made or received in the course of business or pastoral affairs and saved for future reference, action, or evidence. Aside from more familiar paper-based documents, records include: word processing files, databases, spreadsheets, instant messages and chats, websites, digital images, video files, websites, and sound recordings. Please consult [Archives & Records Management](#) if you would like more information.
- 1.2 Electronic records storage sources that are subject to these guidelines include:
- Desktop, laptop, and tablet computers,
 - Servers,
 - Cell/smart phones,
 - Instant messaging,
 - PDAs (personal digital assistant),
 - Loose media (CDs, zip drives, etc.),
 - Voicemail recorders,
 - Printers, and
 - Data backups.

2. Voluntarily Separated Employees

- 2.1 The computer hard drives and other digital assets of *voluntarily* separated employees (when there is no indication to school administrators that the employee is dissatisfied, hurt, or angry) can be repurposed or destroyed once records contained on them have been reviewed with records retention schedules, and materials with ongoing retention requirements or “SCHOOLS ARCHIVES” designation have been transferred (migrated) to a network server or external storage device.

3. Involuntary or Contentious Resignations

- 3.1 Any time there is an involuntary separation or contentious resignation from a position at a school, the institution should be prepared for the possibility of litigation. When this occurs, all electronic records on the employee’s computer hard drives (PC and laptop), external storage devices, optical media, and smart phones should be centralized and preserved until any anticipated or impending litigation has been resolved or the statute of limitations has expired. [Hardcopy records should also be centralized and preserved].

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

- 3.2 To ensure the admissibility of electronic records in legal proceedings, prevent any deletions or changes to them by disabling the employee's access to all digital technologies either before or simultaneously with their final meeting with supervisors or administrators. It is also important that no other staff open these files, as doing so can change system generated metadata used for authentication in litigation. Instead, make a copy of the file(s) or folder(s) in a separate location, and provide access to the copy, **not** the original. Laptops and other technology assets should be returned to the employee's supervisor before or immediately after the severance meeting.
- 3.3 In addition to preserving the electronic records of the subject of the separation or resignation, those of the subject's supervisors and other personnel determined by school administration to be key to any possible future litigation should be preserved in accordance with this policy. Contact the [Chancellor](#) for advice in determining which personnel and the extent of information that should be included in the preservation tasks. For guidance on selecting acceptable methods of preserving electronic data and selecting external storage devices for preservation please contact [Archives & Records Management](#).
- 3.4 All data on mobile smart phones issued by a school should also be retained on a backed-up server or external storage device after an employee's involuntary separation. All email communications should be retained, including but not limited to the inbox, outbox, sent mail, trash, and any subfolders and email communications therein.
- 3.5 Before wiping, repurposing, or disposing of digital technologies, the data contained on them should be migrated to a secure network server or acceptable external storage device. Please contact the [Director of Archives & Records Management](#) to schedule this. In highly contentious matters a mirror image should be produced through a specific method of copying that replicates bit for bit, sector for sector, all allocated and unallocated space, including slack space, on a computer hard drive. This will contain all the information in the computer, including embedded, residual, and deleted data. This requires specialized hardware and software and should not be attempted by school staff. If possible, contact [Archives & Records Management](#) to schedule this *before* the severance meeting so that a plan can be in place to affect the collection and terminate the employee's access to the data concurrent or immediately after the severance meeting.
- 3.6 A hold should also be placed on any routine records destruction for the employee's email account. Additionally, email communications produced after the separation by parties identified by the Chancellor's Office and Legal Counsel to be "key" to any anticipation litigation should also be preserved with a hold placed on those individuals' email account's destruction schedule. Contact [Archives & Records Management](#) to establish a protocol and method for downloading and preserving various email sources. [Staff should *never* use personal email accounts for school business, as doing so may cause the entire account to be subject to discovery during litigation.]

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

- 3.7 It is advisable to conduct an annual audit of software, email services, etc. to prepare for quick implementation of legal holds. Include all departments and entities in the audit. This is important to ensure accurate preservation and to verify that the data can be accessed in the future. For example, legacy software might also need to be preserved, so that data created using that software can be accessed. Additionally, certain server configurations are not easily reconstructed if the server is turned off.
- 3.8 Add the following to a departing employee questionnaire or interview:
- Request for a list of electronic assets and location of all electronic records, including email.
 - Request for login IDs and passwords for systems and applications.
 - Confirmation that the employee does not have any confidential, proprietary, or business-related records (paper or electronic) in his/her possession.
 - Confirmation that the employee did not use personal email services to conduct business on behalf of the Archdiocese of Seattle. If they did, they should be instructed not to access that information until a plan is in place to preserve it and remove it from their possession.
 - For highly contentious matters, contact the [Chancellor](#) or [Director of Archives & Records Management](#) for a “duty to preserve” notice to prepared for the employee at the final meeting.

4. Data Storage, Migration, and Disposal (Involuntary and Voluntary Separations)

4.1 *Migration:*

- For assistance in migrating electronic records to other media please contact the [Archives & Records Management](#).

4.2 *Storage:*

- Electronic records transferred to network servers or external storage devices for the purpose of litigation preservation should be included in the institution’s backup processes.
- If stored on a network server, access to these materials should be restricted to the pastor, PAA, or principal. If stored on an external storage device, it should be kept in a locked place with access limited to the pastor, PAA, or principal. This is to preserve confidentiality and to safeguard against spoliation of evidence in legal proceedings.

4.3 *Disposal:*

- Contact the [Chancellor](#) or the [Director of Archives & Records Management](#) to determine when it is safe to destroy any materials, and then securely delete data on the computer hard drive. Secure deletion is any method which ensures that deleted data cannot be recovered using system functions or commercially available programs. Examples of computer secure deletion include:

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

- Using software programs designed for secure data deletion (There are free and low-cost programs of this type available on the internet.)
 - Reformatting the hard drive (Do not use quick or high-level reformatting, which does not actually delete the data itself)
 - Physically destroying the hard drive
- After transferring the data on mobile devices to a network server or external storage device, erase the data from the device by performing a hardware reset to factory settings, and subsequently verifying that the data has been erased by visual inspection.

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

100 ACADEMIC ADMINISTRATION

The function of assessing students' academic progress and developing academic courses and lesson plans.

105 Course Planning & Development

The activity of drafting, proposing, tracking, and accepting academic courses.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---------------------------------------|---|-----------------|
| SS105-05 | ACADEMIC ANNUAL CALENDARS | Permanent | SCHOOL ARCHIVES |
| SS105-10 | ACADEMIC LESSON PLANS | Academic year + 1 year | RECYCLE |
| SS105-15 | COURSE ADDITIONS, DELETIONS & CHANGES | Academic year + 4 years | RECYCLE |
| SS105-20 | COURSE CATALOGS | Permanent | SCHOOL ARCHIVES |
| SS105-25 | COURSE PROPOSALS & OUTLINES | For proposals accepted: Academic year + 4 years For proposals not accepted: End of academic year | RECYCLE |
| SS105-30 | CURRICULUM SUMMARIES & GUIDES | Permanent | SCHOOL ARCHIVES |

110 Student Evaluation

The activity of assessing academic progress through awarding grades, gathering statistics, and recording attendance.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|--|---|-------------------------------------|
| SS110-05 | CUMULATIVE STUDENT RECORDS – The official student record. Includes, but not limited to: application materials, cumulative record card, formal changes to grades, correspondence with parents, standardized test scores, report cards, and awards. NOTE: If student a withdraws, then a copy of the student's cumulative folder may be sent to the receiving district. | After student graduates + 3 years or after student withdraws + 3 years Cumulative Record Card: Permanent | SHRED/DELETE SCHOOL ARCHIVES |
| SS110-10 | ATTENDANCE RECORDS – May include, but not limited to: planned absence forms, written excuses, and correspondence. | Academic year + 4 years | SHRED/DELETE |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|----------|---|---|-----------------|
| | Records must include: academic year, grade, and teacher name. | | |
| SS110-15 | CHRISTIAN SERVICE LEARNING RECORDS – May include, but not limited to: Christian Service Learning Hours Verification forms and Christian Service Learning Pre-Approval Application forms. | Graduation or withdrawal + 3 years | SHRED/DELETE |
| SS110-20 | ENROLLMENT & DEMOGRAPHIC REPORTS – Data provided by each school to the NCEA and/or Washington State Board of Education and/or Office of the Superintendent of Public Instruction, including enrollment/tuitions information, student and staffing demographics, and student participation in selected education programs. | Academic year + 3 years | DELETE |
| SS110-25 | GRADE BOOKS – Records must include: academic year, grade, and teacher name. | For elementary schools: Academic year + 1 year For secondary schools: Academic year + 5 years | SHRED/DELETE |
| SS110-30 | GRADE CHANGE RECORDS – Records documenting changes to grades before they are entered onto cumulative student record card. | For elementary schools: Academic year + 1 year For secondary schools: Academic year + 5 years | SHRED/DELETE |
| SS110-35 | GRADUATE LISTS – Annual list of graduates. | Permanent | SCHOOL ARCHIVES |
| SS110-40 | STUDENT ASSESSMENT DATA & REPORTS – Records are annual standardized student assessment test results. May include: data summary and school analyses. | Academic year + 6 years | SHRED/DELETE |
| SS110-45 | TRANSCRIPT REQUEST AUTHORIZATIONS | Resolution of request or release of record + 3 years | SHRED/DELETE |

115 School Accreditation

The activity of ensuring the accreditation of schools by the Western Catholic Education Association and the Northwest Association of Accredited Schools.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|--------------------------|--------------|
| SS115-05 | SCHOOL ACCREDITATION PROCESS RECORDS | Academic year + 12 years | SHRED/DELETE |
| SS115-10 | WASHINGTON STATE PRIVATE SCHOOL APPROVALS | Academic year + 2 years | DELETE |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

200 ADMINISTRATION MANAGEMENT

The function of administering school programs, offices, boards, groups, and events.

205 Administration, General

The activity of directing the day-to-day operations of schools. Includes records common to most school offices.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|---|-----------------|
| SS205-05 | OFFICE MEETING MATERIALS – Routine office or staff meeting materials and notes. Includes any audio or video recordings. For board and committee meeting materials, see SS210-05. | Calendar year + 3 years | RECYCLE |
| SS205-10 | PROJECT RECORDS – Records of major projects such as system implementations and strategic initiatives. (Not to be confused with ongoing programs). May include, but not limited to: work plans, status reports, meeting materials and notes, requests for proposal, project plans, financial records, and related correspondence. | Completion of project + 6 years | RECYCLE |
| SS205-15 | RECORDS DESTRUCTION CERTIFICATES – Records documenting the destruction of substantive school records in compliance with the Records Retention Schedule. | Permanent | SCHOOL ARCHIVES |
| SS205-20 | REQUESTS FOR BASIC/ROUTINE INFORMATION – Records are routine requests for information about the Chancery offices, including business hours, location, contact information, website links, meeting times, etc. | Obsolete or superseded | RECYCLE |
| SS205-25 | SCHEDULING – APPOINTMENTS & MEETINGS – Records include meeting invitations accepted/declined, calendar items, notifications, and related correspondence. | Obsolete or superseded | RECYCLE |
| SS205-30 | SUBJECT OR REFERENCE FILES – Collections of resources related to research done by departments or programs. May include, but not limited to: correspondence, photographs, external and internal publications, biographical files, vendor catalogs, | Obsolete or superseded, review annually | RECYCLE |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|----------|---|------------------------|---------|
| | reports, external advertising and marketing information, legislation information, presentation preparation, document drafts, newspaper clippings, working files, and issue files. | | |
| SS205-35 | TRANSITORY RECORDS – See Transitory Records Policy above . Please use the series number and title for destruction certificates. | Obsolete or superseded | RECYCLE |

210 Boards, Committees, Commissions, Councils & Lay Groups

The activity of coordinating and/or staffing boards, committees, councils, commissions, lay groups, societies, and clubs.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|-----------|-----------------|
| SS210-05 | BOARDS, COMMITTEES, COMMISSIONS, COUNCILS & LAY GROUPS MEETING MATERIALS – Includes: school societies, student leadership, societies, and clubs. Records include any audio or video recordings. | Permanent | SCHOOL ARCHIVES |
| SS210-10 | CONSTITUTIONS & BYLAWS – Formal adopted constitutions and bylaws for all organizations and groups. | Permanent | SCHOOL ARCHIVES |

215 Contracts/Agreements

The activity of managing contracts, agreements and all processes involved in their development.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|---|-----------------|
| SS215-05 | CHILD PHOTO/MEDIA RELEASES | Retain for 6 years after image/recording no longer being used then destroy. Denials of Permissions: retain until end of year | SHRED/DELETE |
| SS215-10 | CONTRACTS, SERVICE OR RENTAL AGREEMENTS & WARRANTIES – Records related to the servicing, leasing, rental and contracting of buildings, services, and equipment. | Termination of contract + 6 years | SHRED/DELETE |
| SS215-15 | HOLD HARMLESS/INDEMNITY AGREEMENTS | Termination of contract + 6 years | SHRED/DELETE |
| SS215-20 | INDEPENDENT CONTRACTOR AGREEMENTS | Termination of contract + 6 years | SHRED/DELETE |
| SS215-25 | TEACHER CONTRACTS/COVENANTS | This forms part of the permanent personnel file, see SS505-60 for a full list of included documents | SCHOOL ARCHIVES |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

220 Establishment

The activity of establishing or changing authority of employees and the structure of a school. Includes: incorporating, registering power of attorney, mapping organizational structures, and delegating executive or canonical responsibility.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---------------------------|-----------|-----------------|
| SS220-05 | ARTICLES OF INCORPORATION | Permanent | SCHOOL ARCHIVES |
| SS220-10 | CANONICAL APPOINTMENTS | Permanent | SCHOOL ARCHIVES |
| SS220-15 | DECREES OF ESTABLISHMENT | Permanent | SCHOOL ARCHIVES |
| SS220-20 | POWER OF ATTORNEY | Permanent | SCHOOL ARCHIVES |

225 Events, Field Trips & Retreats

The activity of planning, coordinating, and delivering events, field trips, celebrations, anniversaries, fundraisers, galas, bazaars, festivals, benefits, and retreats. Includes: the arrangement of site reservations, permits, transportation and parking, entertainment and presenters, set up and clean up, and catering. Does not include the coordination of [Board, Committees, Commissions, Councils & Lay Groups](#) (210) or [Liturgical Administration](#) (600). For event insurance or incidents/accidents, see [Risk Management & Insurance](#) (235).

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|--|----------------|
| SS225-05 | EMERGENCY TREATMENT RELEASE FORMS – Forms used for events granting parish staff or volunteers the authority to administer various medical/health care treatments to minors. | End of event or class + 8 years | SHRED/DELETE |
| SS225-10 | EVENT AGENDAS | Obsolete or superseded + 1 year | RECYCLE/DELETE |
| SS225-15 | EVENT PLANNING RECORDS | Obsolete or superseded | RECYCLE |
| SS225-20 | EVENT REGISTRATION RECORDS (ADULT) – For event registrations for minors, see Youth Event Registration Records (SS225-35). | Adult registrations: end of event + 2 years | SHRED/DELETE |
| SS225-25 | FIELD TRIP & RETREAT AUTHORIZATIONS | Academic year + 6 years | SHRED/DELETE |
| SS225-30 | PERMITS & LICENSES – Includes: charitable gaming permits and liquor licenses. | Expiration of permit or license | RECYCLE |
| SS225-35 | YOUTH EVENT REGISTRATION RECORDS – Events, conferences, day camps, overnight events, missions, projects, galas, festivals, field trips, retreats, and celebrations, which have been organized or administered by the school specifically for youth. Records may include but are not limited to: | End of event + 6 years If accident/incident occurs but no claim is filed, retain for 3 years after individual reaches age 18. | SHRED/DELETE |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|----------|--|--|--------------|
| | <ul style="list-style-type: none"> • Parent/legal guardian permission slip forms, • Class rosters, • Emergency information, • Sign-up sheets, • Codes of behavior or conduct, Liability waivers, and • Registration forms. <p>For emergency releases, see Emergency Treatment Release Forms (SS225-05) and for transportation records, see Youth Transportation Records (SS225-40).</p> | If incident/accident occurs, see SS235-15 CLAIMS FOR DAMAGES | |
| SS225-40 | <p>YOUTH TRANSPORTATION RECORDS – Records concerning the transportation of minors by bus or private vehicle. Includes, but is not limited to:</p> <ul style="list-style-type: none"> • Sign-up sheets and registration forms • Parent/legal guardian permissions (approval for use of private vehicles, waiver of liability, emergency information, etc.) • Driver/chaperone/ lists and information <p>This retention policy applies only to cases where <i>the school</i> organizes transportation.</p> | <p>End of field trip + 6 years</p> <p>If accident/incident occurs but no claim is filed, retain for 3 years after individual reaches age 18.</p> | SHRED/DELETE |

230 Policies, Guidelines & Procedures

The activity of developing and implementing policies, procedures, directives, guidelines, and process documents.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|----------------------------------|-----------------|
| SS230-05 | ACCIDENT/SAFETY PREVENTION MANUALS, FINAL | Permanent | SCHOOL ARCHIVES |
| SS230-10 | ACCOUNTING POLICIES & PROCEDURES | Obsolete or superseded + 6 years | RECYCLE |
| SS230-15 | DISASTER/EMERGENCY PREPAREDNESS PLAN, FINAL | Obsolete or superseded + 6 years | RECYCLE |
| SS230-20 | POLICIES, GUIDELINES & PROCEDURES DEVELOPMENT RECORDS: SCHOOLS – May include, but not limited to: substantive drafts, comments, notes, and resources. | Obsolete or superseded + 6 years | RECYCLE |
| SS230-25 | POLICIES, GUIDELINES & PROCEDURES (CHANCERY) – Documents developed by Chancery offices for implementation at schools. | Obsolete or superseded + 6 years | RECYCLE |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| SS230-30 | POLICIES, GUIDELINES & PROCEDURES (SCHOOLS) – Final approved policy documents developed and implemented by schools. | Permanent | SCHOOL ARCHIVES |
|--|---|--|-----------------|
| <p>235 Risk Management & Insurance The activity of identifying and mitigating risks.</p> | | | |
| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
| SS235-05 | <p>ACCIDENTS/INCIDENTS – NO CLAIM FILED – Records relating to accidents/incidents involving individual(s) not employed by the Archdiocese of Seattle, and where a formal claim for damages is not filed. Records include, but are not limited to, reports, notes, photographs, correspondence, and investigations.</p> <p>For Accidents or Incidents where a claim is filed, see SS235-15 CLAIMS FOR DAMAGES.</p> <p>Excludes hazardous materials accidents and incidents covered by PS235-10.</p> | <p>If under the age of 18: 3 years after individual reaches age 18.</p> <p>If over the age of 18: 3 years after the incident.</p> | SHRED/DELETE |
| SS235-10 | ACCIDENTS/INCIDENTS (HAZARDOUS MATERIALS) – Incidents including both employees, volunteers, and the public. Records include: accident report, response, investigation, correspondence, notes, medical or physical examinations. | <p>No human exposure: 50 years after date of incident/accident</p> <p>Human exposure: 100 years after individual’s date of birth or 30 years after individual’s death, whichever is sooner.</p> | SHRED/DELETE |
| SS235-15 | <p>CLAIMS FOR DAMAGES – Accident/Incident Claims which are called into the Archdiocese’s insurance company and for which a formal case is opened. Records include, but are not limited to: reports, correspondence, investigations, notes, testimony, and photographs.</p> <p>For Accidents or incidents where no claim is filed, see SS235-05.</p> | <p>Close of claim + 6 years</p> <p>Claims regarding children under the age of 18: 3 years after individual reaches age 18.</p> | SHRED/DELETE |
| SS235-20 | EMERGENCY DRILL REPORTS | Calendar year + 3 years | RECYCLE |
| SS235-25 | INSURANCE RECORDS – Includes: property, special event, and supplemental. | For policies purchased after 1990: Termination of policy + 6 years | SHRED/DELETE |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|----------|--|---|-----------------|
| | | For policies purchased before 1990: Send to Chancery Archives for review. | ARCHIVAL REVIEW |
| SS235-30 | SEX ABUSE NOTIFICATIONS – Records include, but are not limited to, intake forms, letters, electronic messaging (emails, text messages, voice messages), counseling notes/reports, and documentation of verbal conversations. | Permanent | SCHOOL ARCHIVES |

300 ASSET MANAGEMENT

The function of managing the physical assets of a school (facilities, land, equipment, vehicles, and supplies). Includes: asset acquisition, maintenance, inventories, sale, and disposal.

305 Design & Construction

The activity of designing, constructing, or remodeling buildings, structures, and infrastructures owned, leased, or otherwise occupied by a school.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|--|---|-----------------|
| SS305-05 | BLUEPRINTS & ARCHITECTURAL PLANS | Completion of project + 6 years, then contact Chancery Property and Construction | SCHOOL ARCHIVES |
| SS305-10 | <p>PROPERTY & CONSTRUCTION PROJECT FILES – Records produced through the processes of planning and design phase, permitting and approval, inspection and quality control, and completion and close out of school building projects.</p> <p>May include: preliminary drawings, design criteria, draft construction proposals and plans, final initial as-designed drawings, final as-built drawings, final initial as-designed drawings, final as-built drawings, buildings and plans, entitlements, zoning, master and conditional use permits, environmental impact statements, SEPA, discernments, archdiocesan approvals and initial program, codes and other applicable regulations, budgets, cost estimates, bids, proposals, cost data/reports, project schedules, meeting reports, surveys, site/project visit reports and studies, project manuals, memoranda, maintenance manuals, warranties and guarantees, certificates of substantial completion and certificates of occupancy, construction deficiencies/corrections,</p> | Life or sale of facility + 6 years, then contact Chancery Property and Construction | SCHOOL ARCHIVES |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | guarantees, bonds, related affidavits or releases, preliminary lien notices, special product warranties, project team lists and contact information, geotechnical reports, concrete studies, test reports, steel reports, and masonry reports. | | |
|---|---|---|-----------------|
| 310 Inventory The activity of detailing or itemizing goods, materials, and resources. | | | |
| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
| SS310-05 | INVENTORY OF FILING SYSTEMS & RECORDS | Obsolete or superseded + 1 year | SHRED/DELETE |
| SS310-10 | INVENTORY OF FIXED ASSETS – Contains a list of each asset as well as a description of asset, purchase/acquisition date, date asset put into service, original cost, location of asset, estimated useful life, and date of previous inspection. | Obsolete or superseded + 4 years | SHRED/DELETE |
| SS310-15 | INVENTORY OF MATERIALS, EQUIPMENT & SUPPLIES | Calendar year + 4 years | SHRED/DELETE |
| 315 Maintenance The activity of repairing, inspecting, or servicing assets owned by a school. Includes: buildings, vehicles, and equipment. | | | |
| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
| SS315-05 | BUILDING INSURANCE INSPECTION RECORDS – Records are the results of insurance company inspections of all properties. | Superseded + 6 years | SHRED/DELETE |
| SS315-10 | BUILDING SAFETY PERMITS/ INSPECTIONS – Fire extinguishers, alarms, sprinklers, suppression systems; heating, ventilation, air conditioning systems; boilers/hot water tanks, elevators, etc. Does not include hazardous waste inspection/ abatement records. See HAZARDOUS MATERIALS FILES. | Retain for 6 years after inspection superseded (<i>and</i> conditions of inspection satisfied <i>and</i> violations corrected/ resolved), then Destroy | SHRED/DELETE |
| SS315-15 | HAZARDOUS MATERIAL SAFETY DATA SHEETS (MSDS) & ASBESTOS REPORTS | Permanent | SCHOOL ARCHIVES |
| SS315-20 | MAINTENANCE HISTORY RECORDS – MAJOR AND/OR REGULATED – Records of major and/or regulated maintenance on buildings, equipment, and any vehicles performed by maintenance staff, vendors, or contractors. May include: logs, reports, and related | Disposal or sale of property, equipment, or vehicle + 6 years | SHRED/DELETE |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|----------|--|--|--------------|
| | correspondence/email. Included in this series are: accident/traffic collision records, as well as any inspection documentation or safety analysis records. | | |
| SS315-25 | MAINTENANCE & SERVICE REQUESTS (MINOR AND/OR UNREGULATED) – Records documenting minor and/or unregulated repairs and services performed by maintenance staff, vendors, and third parties. Includes custodial, floor and window cleaning, lawn/gardening, painting, and vehicle and equipment oil changes, tune-ups, filters, tires, etc. Records may include: email calendar appointments, events, work orders, and tasks as well as email. | Calendar year + 3 years If security/safety issues, then retain indefinitely | SHRED/DELETE |
| SS315-30 | OPERATING MANUALS | Disposition or sale of facility or equipment | SHRED/DELETE |
| SS315-35 | OPERATING PERMITS | Expiration of permit + 6 years | SHRED/DELETE |

320 Operations

The activity of managing the day-to-day usage of buildings, vehicles, and equipment.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|--|--|--------------|
| SS320-05 | BUILDING ACCESS PRIVILEGE RECORDS | Obsolete or superseded | SHRED/DELETE |
| SS320-10 | HEALTH DEPARTMENT FOOD SAFETY INSPECTION REPORTS | Retain until next inspection, then destroy | SHRED/DELETE |
| SS320-15 | KEY CHECK OUT RECORDS | Termination of employee + 6 years | SHRED/DELETE |
| SS320-20 | KEY LOGS | Obsolete or superseded | SHRED/DELETE |
| SS320-30 | PARKING PERMIT LISTS | Obsolete or superseded | RECYCLE |
| SS320-35 | VEHICLE TITLES & REGISTRATIONS | Disposition or sale of vehicle + 4 years | SHRED/DELETE |
| SS320-40 | VISITOR REGISTRATION LOGS | Calendar year + 4 years | SHRED/DELETE |
| SS320-45 | VOLUNTEER SIGN-IN SHEETS | Calendar year + 4 years | SHRED/DELETE |

325 Security

The activity of protecting employees and staff, physical goods, and resources against danger, loss, or threat.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|--------------------------|-----------|-------------|
|------------|--------------------------|-----------|-------------|

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|----------|---|---|------------------------|
| SS325-05 | POLICE NOTIFICATIONS OF LOCAL JUVENILE & SEX OFFENDERS | Obsolete or superseded | SHRED/DELETE |
| SS325-10 | POLICE REPORTS | Resolution of incident + 6 years | SHRED/DELETE |
| SS325-15 | SECURITY ROUNDS LOGS | Calendar year + 1 year | SHRED/DELETE |
| SS325-20 | SECURITY/INCIDENT REPORTS – Excludes all injuries to individuals. See SS235-05 & SS235-15 for accidents and injuries to individuals. | Resolution of incident + 6 years | SHRED/DELETE |
| SS325-25 | SURVEILLANCE RECORDS | If no incident occurs, creation date + 30 days If incident, resolution of incident + 6 years | SHRED/DELETE DELETE |

400 FINANCIAL ADMINISTRATION

The function of managing a school's financial resources, obligations, and monetary infrastructure.

405 Accounting

The activity relating to the creation of financial records of business transactions and the preparation of statements concerning the assets, liabilities, and performance. For Accounting Policies, see [230 Policies, Guidelines, & Procedures](#).

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|--|-----------------------|-----------------|
| SS405-05 | ACCOUNTS PAYABLE & RECEIVABLE – Includes cancelled checks. | Fiscal year + 6 years | SHRED/DELETE |
| SS405-10 | AUDIT REPORTS | Permanent | SCHOOL ARCHIVES |
| SS405-15 | CASH RECEIPTS | Fiscal year + 6 years | SHRED/DELETE |
| SS405-20 | CHECK REQUISITIONS | Fiscal year + 6 years | SHRED/DELETE |
| SS405-25 | GENERAL LEDGERS | Permanent | SCHOOL ARCHIVES |
| SS405-30 | JOURNAL ENTRIES | Fiscal year + 6 years | SHRED/DELETE |
| SS405-35 | VOIDS/STOP PAYMENTS | Fiscal year + 6 years | SHRED/DELETE |
| SS405-40 | WIRE TRANSFERS | Fiscal year + 6 years | SHRED/DELETE |

410 Authorization

The activity of granting and/or receiving permission or approval in relation to financial management.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|--------------------------|-----------|-------------|
|------------|--------------------------|-----------|-------------|

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|----------|--|----------------------------------|--------------|
| SS410-05 | APPROVALS FOR COMPANY CREDIT CARDS & STORE CREDIT APPLICATIONS | Obsolete or superseded + 6 years | SHRED/DELETE |
| SS410-10 | AUTHORIZED SIGNATURE LISTS | Obsolete or superseded + 6 years | SHRED/DELETE |

415 Banking

The activity of transacting monetary exchanges with outside financial institutions.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|--|-----------------------|--------------|
| SS415-05 | BANK/INVESTMENT STATEMENTS & RECONCILIATIONS | Fiscal year + 6 years | SHRED/DELETE |
| SS415-10 | CHART OF ACCOUNTS | Fiscal year + 6 years | SHRED/DELETE |

420 Budget Development

The activity of determining estimates of future revenue and expenditures.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|----------------------------------|------------------------|-----------------|
| SS420-05 | BUDGET DEVELOPMENT WORKING FILES | Obsolete or superseded | SHRED/DELETE |
| SS420-10 | BUDGET, FINAL | Permanent | SCHOOL ARCHIVES |

425 Development & Fundraising

The activity of soliciting and gathering contributions of money and/or other resources through capital campaigns, auctions, fundraising events, special collections, and estate planning.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|--|--|-----------------|
| SS425-05 | BEQUESTS, TRUSTS & ENDOWMENTS | Permanent | SCHOOL ARCHIVES |
| SS425-10 | CAMPAIGN PLANNING RECORDS – May include: promotional materials, event planning materials, prospective donor lists, photographs, and sample pledge cards and forms. | End of campaign + 2 years | SHRED/DELETE |
| SS425-15 | DONATION RECORDS | Calendar year + 6 years | SHRED/DELETE |
| SS425-20 | DONATION SUMMARY REPORTS | Calendar year + 6 years | SHRED/DELETE |
| SS425-25 | DONOR DATABASE RECORDS – Include: contact information, | Calendar year + 6 years or obsolete or | DELETE |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|----------|---|--|--------------|
| | demographic information, educational and business information, how donators wish to be recognized, donation amount, history of gifts, attendance at activities, as well as other attributes relevant to the donator's relationship with the Archdiocese of Seattle. | superseded, whichever is longer | |
| SS425-30 | EMPLOYER MATCHING GIFTS | Calendar year + 6 years | SHRED/DELETE |
| SS425-35 | FUNDRAISING GOAL WORKSHEETS | Calendar year + 6 years | SHRED/DELETE |
| SS425-40 | FUNDRAISING PLEDGE RECORDS – May include, but not limited to: pledge cards, payment forms, credit card or electronic fund transfer forms, batch records, cash receipts journal, pledge status reports, summary reports, ad-hoc reports, donor gifts, and pledge adjustment documentation. | Calendar year + 6 years If using pledge forms for data entry into a parish data system, then pledge forms are transitory and database is official record. | SHRED/DELETE |

430 Grants

The activity of giving or receiving grant funds.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|--|--------------|
| SS430-05 | GRANT RECORDS – Prepared by or received by schools for the dissemination of funds. Includes, but not limited to applications, status reports, supporting documentation, and related correspondence. | Grants issued: Grant period + 6 years Applications denied: Calendar year + 1 year | SHRED/DELETE |
| SS430-10 | GRANT RECORDS – FULCRUM FOUNDATION – Records pertaining to awarded funds to the Fulcrum Foundation. May include, but not limited to: status updates, application materials, reports, information about the granting institution, and financial statements. | End of grant period (and any subsequent renewal period) + 6 years Applications denied: Calendar year + 1 year | SHRED/DELETE |
| SS430-15 | SCHOOL GRANTS APPLICATION MATERIALS – Include: application and report of income and expenses. | Grants issued: Grant period + 6 years Applications denied: Calendar year + 1 year | SHRED/DELETE |
| SS430-20 | TEACHER GRANT APPLICATION MATERIALS – Include: project proposals, teacher's personnel information, statements of commitments, and budget proposals. | Grants issued: Grant period + 6 years Applications denied: Calendar year + 1 year | SHRED/DELETE |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|----------|--|--|--------------|
| SS430-25 | TUITION ASSISTANCE ACCEPTANCE LETTERS | Grant period + 6 years | SHRED/DELETE |
| SS430-30 | TUITION ASSISTANCE APPLICATION MATERIALS – Include: application forms, principal's authorizations, tax information, supplemental evidence, and evidence for special circumstances. | Grants issued: Grant period + 6 years Applications denied: Calendar year + 1 year | SHRED/DELETE |

435 Payroll

The activity relating to the monetary compensation of employees on a periodic basis.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|---|--|
| SS435-05 | MANUAL CHECKS SUMMARY REPORTS | Fiscal year + 4 years or until completion of audit | SHRED/DELETE |
| SS435-10 | PAYROLL DEDUCTION REQUESTS | Termination of authorization + 6 years | SHRED/DELETE |
| SS435-15 | PAYROLL REGISTERS* *Includes: Wage and Tax Registers | Permanent | SHRED/DELETE |
| SS435-20 | PAYROLL VENDOR REPORTS (ADP) – Records generated automatically by payroll system pre-January 1, 2014. | Master Control, Monthly/Quarterly reports: End of fiscal year Last Master Control report of Calendar year: Permanent Pre-2005 reports: retain permanently to provide proof of employment (if no personnel file exists) General informational/reference reports: Obsolete or superseded | SHRED/DELETE SCHOOL ARCHIVES SCHOOL ARCHIVES SHRED/DELETE |
| SS435-25 | TIMECARDS (ADP) | If used for retirement verification: Calendar year + 60 years. If NOT used for retirement verification: Calendar year + 4 years | SHRED/DELETE |

440 Student Tuition

The activity of coordinating financial aid assistance to students and payment for tuition fees.

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|--|--|---|--------------|
| SS440-05 | FINANCIAL AID/SCHOLARSHIP RECORDS – Applications and supporting documentation for financial assistance provided by a school or another external source. | Termination of financial aid or scholarship + 6 years For financial aid or scholarship not awarded: Calendar year + 1 year | SHRED/DELETE |
| SS440-10 | MEAL ASSISTANCE APPLICATION RECORDS | Academic year + 6 years | SHRED/DELETE |
| SS440-15 | TUITION & COMMITMENT CONTRACTS – Signed by parents annually upon child’s acceptance. | Fulfillment of contract + 6 years | SHRED/DELETE |
| 445 Tax | | | |
| The activity of filing any required annual or special-purpose tax returns. | | | |
| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
| SS445-05 | BUSINESS & OCCUPATIONAL (B & O) TAX RETURNS | Calendar year + 6 years | SHRED/DELETE |
| SS445-10 | EXCISE TAX RETURNS | Calendar year + 6 years | SHRED/DELETE |
| SS445-15 | INTERNAL REVENUE SERVICE (IRS) RECORDS: <ul style="list-style-type: none"> • 1099 Form • 941 Tax Quarterly Form • Absolution Letters • CP-2100A Notice • W-2 Form (Wage & Tax Statement) • W-4 Form (Employee’s Withholding Allowance Certificate) • W-9 Form (Request for Taxpayer Identification Number & Certification) • 990-T Unrelated Business Income Tax | Calendar year + 6 years | SHRED/DELETE |
| SS445-20 | PROPERTY TAX EXEMPTION RENEWAL RECORDS – Records are WA State Department of Revenue forms, tax statements, and correspondence used in the renewal process of a parish or school’s tax exempt status. | Calendar year + 6 years | SHRED |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

500 PERSONNEL ADMINISTRATION

The function of recruiting, selecting, assessing, and managing employees and volunteers.

505 Personnel Management

The activity of documenting an individual's employment. Includes volunteers.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|--|-----------------|
| SS505-05 | ABSENCE AND LEAVE RECORDS – Records are requests by teachers and staff for absences. Also includes cumulative weekly, monthly, and yearly personnel attendance records. Family Medical Leave Records are specifically covered by SS505-30. | Before 2013: School year + 4 years After 2013: School year + 1 year, or until verified whichever is longer. | SHRED |
| SS505-10 | BACKGROUND CHECKS, POSITIVE CRIMINAL HISTORY RESULTS – for records of Negative Criminal History Results, see SS505-60 for more information. | Permanent (see SS505-60 Personnel Files) | SCHOOL ARCHIVES |
| SS505-15 | CONFIDENTIALITY AGREEMENTS – Records are contracts signed by staff that ensure that personnel, legal, and health information will not be disclosed outside of office-approved situations. See SS505-60 for more information. | Separation of employee + 1 year, then incorporate into employee's personnel file | SCHOOL ARCHIVES |
| SS505-20 | EMPLOYEE DISPUTE RESOLUTION RECORDS | Resolution of dispute + 6 years If employee terminated: Permanent | SHRED/DELETE |
| SS505-25 | EMPLOYEE PERFORMANCE EVALUATIONS | Permanent (see SS505-60 Personnel Files) | SCHOOL ARCHIVES |
| SS505-30 | FAMILY MEDICAL LEAVE RECORDS*– Records allow for employees to take leaves of absence in certain circumstances without losing their employment or benefits. May include: application, correspondence with physician, physician notes and letters, and release to return to work. *Records must be kept in a file separate from general personnel file. | Separation of employee + 7 years | SHRED/DELETE |
| SS505-35 | FIRST AID CERTIFICATION RECORDS | Expiration of certification + 6 years | SHRED/DELETE |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|----------|--|--|-------------------------------------|
| SS505-40 | HIPAA TRAINING CERTIFICATES | Permanent (see SS505-60 Personnel Files) | SCHOOL ARCHIVES |
| SS505-45 | I-9 EMPLOYMENT ELIGIBILITY RECORDS | Date employee hired + 3 years or termination of employment + 1 year, whichever is longer | SHRED/DELETE |
| SS505-50 | PARENT VOLUNTEER ROSTERS | Calendar year + 1 year | SHRED/DELETE |
| SS505-55 | PARENTAL COMPLAINTS | Resolution of complaint + 3 years If related to alleged abuse or misconduct: Permanent | SHRED/DELETE SCHOOL ARCHIVES |
| SS505-60 | PERSONNEL FILES – Records of school employees and volunteers. May include, but not limited to: <ul style="list-style-type: none"> •ACADEMIC RECORD, •BACKGROUND CHECKS, NEGATIVE CRIMINAL HISTORY RESULTS, •CONFIDENTIALITY AGREEMENTS, •CONTINUING EDUCATION CERTIFICATES, •DIRECTORY INFORMATION, •EMPLOYEE PERSONNEL POLICY AGREEMENTS, •HIPAA TRAINING CERTIFICATES, •LAY OFF/SEVERENCE NOTIFICATION LETTER, •LETTERS OF REFERENCE, •PAY RATE/HISTORY, •PERFORMANCE EVALUATIONS, •PERSONNEL ACTION FORMS, •PERSONNEL STATUS TRACKING RECORDS, •RESUME, •TEACHER ANNUAL CONTRACTS / COVANTENTS, •TEACHING CERTIFICATE, and •TRANSCRIPTS. | Permanent For a complete list of Personnel File Record Keeping Requirements for Catholic Schools, see here . | SCHOOL ARCHIVES |
| SS505-65 | RETURNING TEACHER COMMITMENTS | Until teacher annual contract is signed + 1 year | SHRED/DELETE |
| SS505-70 | SAFE ENVIRONMENT TRAINING PROOF OF COMPLETION | Before 1 July 2015: Permanent. Printed proof must be included in Personnel File (see SS505-60). After 1 July 2015: Permanent. Records maintained by Virtus. | SCHOOL ARCHIVES |
| SS505-75 | TERMINATED EMPLOYEE CHECKLIST & SUPPORTING DOCUMENTATION | Permanent | SCHOOL ARCHIVES |
| SS505-80 | TERMINATED EMPLOYEE RECORDS – See Personnel Files (SS505-60) | Permanent | SCHOOL ARCHIVES |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|----------|---|---|--------------|
| SS505-85 | WORKERS' COMPENSATION CLAIM RECORDS | For claims awarded: 75 years after close of claim For claims denied: 40 years after close of claim | SHRED/DELETE |
| SS505-90 | WORKERS' COMPENSATION REPORTS FOR SELF-INSURED BUSINESSES | Fiscal year + 6 years | SHRED/DELETE |

510 Recruitment & Hiring

The activity of screening, selecting, and employing individuals. Includes: volunteers and visiting and temporary religious community members.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|--|---------------------------|--------------|
| SS510-05 | EMPLOYEE RECRUITMENT & APPLICATION RECORDS – Records related to the recruitment and selection of employees, job description, and determination of rate of pay. Records may include: summary of position responsibilities, requirements, applications (not hired) and rejection letters, wage rate, and reporting relationships. | Position filled + 3 years | SHRED/DELETE |

600 LITURGICAL ADMINISTRATION

The function of coordinating sacred musical performances and liturgical celebrations. For training materials for new ministers, see [Training \(1200\)](#).

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|--------------------------------|---------------------------------|-----------------|
| SS600-05 | CALENDAR OF LITURGIES | Permanent | SCHOOL ARCHIVES |
| SS600-10 | LITURGICAL MINISTER'S SCHEDULE | Permanent | SCHOOL ARCHIVES |
| SS600-15 | ORDERS OF CELEBRATION/ORDOS | Permanent | SCHOOL ARCHIVES |
| SS600-20 | PROGRAMS/HANDBILLS | Permanent | SCHOOL ARCHIVES |
| SS600-25 | REPERTORY CALENDAR | Permanent | SCHOOL ARCHIVES |
| SS600-30 | REPRINT LICENSES | Expiration of license + 2 years | SHRED/DELETE |
| SS600-35 | USAGE LICENSES | Expiration of license + 2 years | SHRED/DELETE |
| SS600-40 | WORSHIP AIDS | Obsolete or superseded | SHRED/DELETE |

700 PERFORMANCE & THEATRICALS

The function of coordinating secular musical and theatrical performances. Includes: pageants, plays, and musicals. Does not include musical performances related to the Mass.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---------------------------|---------------------------------|-----------------|
| SS700-05 | MUSIC PERFORMANCE RECORDS | Obsolete or superseded | SHRED/DELETE |
| SS700-10 | REPERTORY CALENDARS | Permanent | SCHOOL ARCHIVES |
| SS700-15 | REPRINT LICENSES | Expiration of license + 2 years | SHRED/DELETE |
| SS700-20 | THEATRICAL/MUSIC PROGRAMS | Permanent | SCHOOL ARCHIVES |
| SS700-25 | USAGE LICENSES | Expiration of license + 2 years | SHRED/DELETE |

800 INFORMATION MANAGEMENT

The function of managing school information, including forms and publications, mail services, records administration, marketing, and public relations.

805 Library Administration

The activity of administering library resources.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|------------------------|--------------|
| SS805-05 | LIBRARY CATALOG | Obsolete or superseded | SHRED/DELETE |
| SS805-10 | LIBRARY CIRCULATION RECORDS – Records tracking materials checked in/out of library. Includes: membership roster and statistics. | Obsolete or superseded | SHRED/DELETE |

810 Marketing

The function of promoting school programs, services, drives, and events.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---------------------------------------|-----------|-----------------|
| SS810-05 | LOGOS – Organizational & Departmental | Permanent | SCHOOL ARCHIVES |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|----------|---|-----------|-----------------|
| SS810-10 | <p>MARKETING MATERIALS – May include, but not limited to:</p> <ul style="list-style-type: none"> • Advertisements, • Audio/Video tape cassettes, • Brochures, • Catalogs, • CD-ROMs, • Digital Images, • Direct Mailings, • Films and Presentations, • Fliers, • Manuals, • Pamphlets, • Photographic Prints and Negatives, and • Posters. | Permanent | SCHOOL ARCHIVES |
|----------|---|-----------|-----------------|

815 Public Statement

The activity of releasing information to student families and to the general public.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|-----------|-----------------|
| SS815-05 | PRESS RELEASES & PUBLIC SERVICE ANNOUNCEMENTS | Permanent | SCHOOL ARCHIVES |

820 Publications & Memorabilia

The activity of drafting, producing, and managing public documents, including printed and electronic matter, broadcast media, and website material.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|--|-----------|-----------------|
| SS820-05 | ANNIVERSARY BOOKS AND VIDEOS | Permanent | SCHOOL ARCHIVES |
| SS820-10 | BACCALAUREATE & COMMENCEMENT PROGRAMS | Permanent | SCHOOL ARCHIVES |
| SS820-15 | BULLETINS, NEWSLETTERS, NEWSPAPERS & MASS MAILINGS | Permanent | SCHOOL ARCHIVES |
| SS820-20 | HISTORICAL NEWSPAPER CLIPPINGS | Permanent | SCHOOL ARCHIVES |
| SS820-25 | HISTORICAL PHOTOGRAPHS | Permanent | SCHOOL ARCHIVES |
| SS820-30 | PARENT & STUDENT HANDBOOKS | Permanent | SCHOOL ARCHIVES |
| SS820-35 | PERIODICALS – Publications produced by a school. | Permanent | SCHOOL ARCHIVES |
| SS820-40 | SCHOOL DIRECTORIES | Permanent | SCHOOL ARCHIVES |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|----------|-------------------------------|-------------------------------------|-----------------|
| SS820-45 | SCRAPBOOKS | Permanent | SCHOOL ARCHIVES |
| SS820-50 | WEBSITE RECORDS | Capture before major content change | SCHOOL ARCHIVES |
| SS820-55 | YEARBOOKS/ANNUALS | Permanent | SCHOOL ARCHIVES |
| SS820-60 | YEARBOOKS/ANNUALS PHOTOGRAPHS | Permanent | SCHOOL ARCHIVES |

825 Research & Planning

The activity of conducting statistical research, and developing, monitoring, auditing and reviewing plans, long term strategies, functions and goals of offices, departments, projects, and programs.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|-----------|-----------------|
| SS825-05 | ANNUAL REPORTS | Permanent | SCHOOL ARCHIVES |
| SS825-10 | CENSUS RECORDS (FACULTY AND STUDENT) | Permanent | SCHOOL ARCHIVES |
| SS825-15 | SURVEYS, STUDIES, REPORTS & RECOMMENDATIONS | Permanent | SCHOOL ARCHIVES |

900 SPIRITUAL DIRECTION

The function of providing spiritual guidance to individuals and families.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|--------------------------------|------------------------|--------------|
| SS900-05 | SPIRITUAL DIRECTION NOTES | Obsolete or superseded | SHRED/DELETE |
| SS900-10 | SPIRITUAL DIRECTION RESOURCES | Obsolete or superseded | SHRED/DELETE |
| SS900-15 | SPIRITUAL DIRECTION STATISTICS | Obsolete or superseded | SHRED/DELETE |
| SS900-20 | TELEPHONE CALL LOGS | Obsolete or superseded | SHRED/DELETE |

1000 STUDENT SERVICES

The function of providing assistance to students regarding issues of discipline; physical, mental, and emotional health; and enrollment. Also includes the coordination of student athletics.

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

1005 Athletics

The activity of coordinating athletic teams and sporting events.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|--|--|--------------|
| SS1005-05 | ATHLETIC ELIGIBILITY RECORDS – Includes: physicals, insurance, grade point, appeals, emergency information, student registration, and transportation plan. | Academic year + 3 years | SHRED/DELETE |
| SS1005-10 | ATHLETIC REGISTRATION RECORDS – Records relating to youth registration for team sports. May include: rules and regulations, team registration form (school, grade levels, coach’s name, fees paid), payment records, and pastor-sign off sheet. | Retain until minor is age 18 + 3 years | SHRED/DELETE |
| SS1005-15 | PARENT/LEGAL GUARDIAN CONSENT FORMS – This includes any records where parents/legal guardians are giving consent to travel to, register for, or participate in athletic events. | Academic year + 6 years | SHRED/DELETE |
| SS1005-20 | SPORTING EVENT SCHEDULES | Academic year + 3 years | RECYCLE |
| SS1005-25 | TEAM ROSTERS | Academic year + 3 years | RECYCLE |
| SS1005-30 | TEAM SPORT CERTIFICATION RECORDS | Expiration of certification + 2 years | SHRED/DELETE |

1010 Counseling

The activity of assisting students in need of counseling (college or otherwise). Includes: counseling, referral, and testing.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|---------------------------------|--------------|
| SS1010-05 | COUNSELING SESSION LOGS | Last counseling visit + 5 years | SHRED/DELETE |
| SS1010-10 | PARENTAL AUTHORIZATIONS FOR COUNSELING | Last counseling visit + 5 years | SHRED/DELETE |
| SS1010-15 | PSYCHOLOGICAL TEST RESULTS | Last counseling visit + 5 years | SHRED/DELETE |
| SS1010-20 | PSYCHOLOGICAL TESTING REFERRALS | Academic year + 3 years | SHRED/DELETE |
| SS1010-25 | STUDENT COUNSELING INTAKE & SESSION RECORDS | Last counseling visit + 5 years | SHRED/DELETE |

1015 Discipline

The activity of administering disciplinary actions in a school setting.

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|-----------------------------|--|-------------------------------------|
| SS1015-05 | DISCIPLINE RECORDS | Graduation or withdrawal + 3 years If case results in an expulsion or involves law enforcement: Permanent | SHRED/DELETE SCHOOL ARCHIVES |
| SS1015-10 | PLAYGROUND INCIDENT REPORTS | Graduation or withdrawal + 3 years | SHRED/DELETE |

1020 Enrollment

The activity of coordinating student admission, placement testing, and registration.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|--|---|--------------|
| SS1020-05 | ADMISSIONS RECORDS – Includes, but not limited to: application, interview notes, supporting documentation, reference questionnaires, grades, transcripts, confidential teacher evaluation forms, confidential school report/transcript requests, and standardized test scores. | Graduation or withdrawal + 3 years* *Only transcripts are retained permanently | SHRED/DELETE |
| SS1020-10 | ASSESSMENT & PLACEMENT TEST RECORDS | Graduation or withdrawal + 3 years | SHRED/DELETE |
| SS1020-15 | COURSE REGISTRATIONS | Academic year + 1 year | SHRED/DELETE |
| SS1020-20 | DAYCARE ATTENDANCE RECORDS | Academic year + 6 years | SHRED/DELETE |
| SS1020-25 | EXTENDED DAY PROGRAM (EDP) APPLICATION & AUTHORIZATIONS – Records of before and after-school extended care programs. Includes, but not limited to: registration forms, contact information, health forms, pick up authorizations, and consent for emergency treatment forms, and program contracts. | Academic year + 6 years | SHRED/DELETE |
| SS1020-30 | INDIVIDUAL CLASS STUDENT LISTS | Academic year + 4 years | SHRED/DELETE |
| SS1020-35 | INTENT TO REGISTER RECORDS – Filled out annually by parents. | After annual tuition & commitment contract is signed + 1 year | SHRED/DELETE |
| SS1020-40 | PARENT AGREEMENTS – Yearly agreement that parents have read and understood the Parental Handbook. | Academic year + 6 years | SHRED/DELETE |
| SS1020-45 | STUDENT TECHNOLOGY USAGE RECORDS – Also called Technology Usage Form or Acceptable Use Agreement (AUA). | End of academic year | SHRED/DELETE |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

1025 Health Services

The activity of providing assistance to students who require medical attention. Includes: forms and authorizations for administering medical care and distributing health cards.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|---|--------------|
| SS1025-05 | CERTIFICATION OF IMMUNIZATION STATUS (CIS) – Records documenting a student’s immunization status, including proof of immunization. May include: Washington State Department of Health Form No. 348-013. | Graduation or withdrawal | SHRED/DELETE |
| SS1025-10 | HEALTH CARE/SERVICES PROVIDED TO STUDENTS – Records of health care or health care services administered to students at the school (staff, volunteers, and contractors). | Calendar year + 8 years | SHRED/DELETE |
| SS1025-15 | HEALTH ROOM VISITOR LOGS | Academic year + 8 years | SHRED/DELETE |
| SS1025-20 | MEDICAL USE AUTHORIZATIONS – Authorizations for the administration of medication by school staff. | Final administration of dosage or procedure + 8 years | SHRED/DELETE |
| SS1025-25 | STUDENT HEALTH FILES OR CARDS – Includes, but not limited to: emergency contact information, medical history, and special instructions. | Graduation or withdrawal + 8 years | SHRED/DELETE |

1100 TECHNOLOGY MANAGEMENT

The function of acquiring, developing, implementing, testing, supporting, and monitoring computer, networking, imaging, telecommunication, and other technologies.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|--|-------------|
| SS1100-05 | COMPUTER PATCH MANAGEMENT LOGS | Obsolete or superseded | DELETE |
| SS1100-10 | FIREWALL LOGS | Obsolete or superseded + 1 years | DELETE |
| SS1100-15 | INTERNET TRAFFIC LOGS | Calendar year + 1 years | DELETE |
| SS1100-20 | NETWORK SERVERS EVENT LOGS | Obsolete or superseded | DELETE |
| SS1100-25 | SYSTEM BACKUP LOGS | Obsolete or superseded | DELETE |
| SS1100-30 | TECHNOLOGY IMPLEMENTATION LOGS – Records produced | Until application or version is no longer needed | DELETE |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|-----------|--|--|--------|
| | through technology implementation services. May include: each event entry, status of the event, requesting office, requesting customer, date received, priority, and staff assigned to the event. | for agency business and all records within the application have been migrated or dispositioned | |
| SS1100-35 | WEBSITE USAGE REPORTS | Calendar year + 1 year | DELETE |

1200 TRAINING

The activity of organizing, coordinating, and administering training programs such as conferences, seminars, certifications, classes, workshops, and similar educational gatherings. Does not include academic instruction.

| SERIES NO. | SERIES/TITLE DESCRIPTION | RETENTION | DISPOSITION |
|------------|---|--------------------------|--------------|
| SS1200-05 | <p>TRAINING COURSE ADMINISTRATION RECORDS – CERTIFIED, HOURS/CEDITS EARNED, OR MANDATORY – Records produced through the administration of training courses, workshops, classes, orientations, and seminars given by the Archdiocese which are mandatory, a certification is given or hours, or credits are earned.</p> <p>May include, but not limited to:</p> <ul style="list-style-type: none"> • applications and registrations (if no other attendance list exists), • copy of graduation certificate, • course or instructor evaluations, • instructor records, • listing of completed courses, • payment information, • student attendance and sign-in sheets, • student essays and résumés, • test results evaluations, and • student progress status. <p>For trainings, where hours or credits are not earned, see SS1200-10.</p> | After training + 6 years | SHRED/DELETE |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|-----------|--|---|--------------|
| | For final versions of materials, see SS1200-25. | | |
| SS1200-10 | <p>TRAINING COURSE ADMINISTRATION RECORDS – GENERAL – Records produced through the administration of training courses, workshops, classes, orientations, and seminars given by the Archdiocese, which do not confer a certification, are not mandatory or no hours or credits are earned.</p> <p>May include, but not limited to:</p> <ul style="list-style-type: none"> • applications and registrations (if no other attendance list exists), • attendance and sign-in sheets, • copy of graduation certificate, • course or instructor evaluations • instructor records, • listing of completed courses, • student essays and résumés, • student payment information, • student progress status, and • test results evaluations. <p>For mandatory trainings or trainings where hours or credits are earned, see SS1200-05. For final versions of materials, see SS1200-25.</p> | After training + 3 years | SHRED/DELETE |
| SS1200-15 | <p>TRAINING COURSE ARRANGEMENTS RECORDS – Records are training and date scheduling records, availability notices, participant registrations, arrangements related to catering, facilities, and equipment.</p> <p>See SS1200-05 for financial information and administration of the training.</p> | Obsolete or superseded | SHRED/DELETE |
| SS1200-20 | <p>TRAINING COURSE DEVELOPMENT RECORDS – Records produced in the creation of training courses, workshops, classes, orientations, and seminars put on by the Archdiocese.</p> <p>May include, but not limited to: draft course curricula, reference publications, manuals, draft syllabi, lecture notes, draft outlines and agendas, handouts, and readings.</p> | Until curriculum or training is no longer offered | SHRED/DELETE |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

| | | | |
|-----------|---|-----------|-----------------|
| SS1200-25 | <p>TRAINING MATERIALS: FINAL VERSIONS – Finalized copies of materials distributed at a training course, workshop, class, orientation, or seminar put on by the Archdiocese.</p> <p>May include, but not limited to: course curricula, reference publications, manuals, booklets, syllabi, outlines, agendas, handouts, and readings.</p> | Permanent | SCHOOL ARCHIVES |
|-----------|---|-----------|-----------------|

1300 LEGAL

The function of managing the civil legal affairs of the school, including: litigation and legal advice. Includes internal and outside counsel.

1305 Counsel

The activity of requesting and receiving legal advice and opinions.

| SERIES NO. | SERIES TITLE & DESCRIPTION | RETENTION | DISPOSITION |
|------------|----------------------------|-----------|-----------------|
| SS1305-05 | LEGAL ADVICE AND OPINIONS | Permanent | SCHOOL ARCHIVES |

1310 Litigation Support

The activity of providing consultation and support services to diocesan legal counsel in regard to current and pending cases.

| SERIES NO. | SERIES TITLE & DESCRIPTION | RETENTION | DISPOSITION |
|------------|--|---|-----------------|
| SS1310-05 | <p>LITIGATION SUPPORT RECORDS – Records produced through research relating to compliance with requests for production.</p> <p>Includes: complaint, interrogatories, requests for production/admissions, review notes, attorney meeting notes, background material, and correspondence.</p> | Obsolete or superseded | SHRED/DELETE |
| SS1310-10 | LITIGATION WORK PRODUCT FILES – Records between legal counsel and parishes pertaining to ongoing, pending or anticipated litigation. | Close of case + 10 years, then arrange for appraisal by Chancery Archives | ARCHIVAL REVIEW |

GLOSSARY

Archives – The place where permanent inactive records are stored. Archival records in the Archives of the Archdiocese of Seattle are non-circulating, meaning that once they are officially transferred to the archives, staff may view the records, but not remove or check them out.

Diocesan Record – The Archdiocese of Seattle defines its *records* as any documents (regardless of format) that are made or received in the course of business or pastoral affairs and saved for future reference, action, or evidence. Aside from more familiar paper-based documents, records can be word processing documents, databases, spreadsheets, instant messages and chats, websites, digital images, video files, websites, and sound recordings. Please consult [Archives & Records Management](#) if you would like more information.

Disposition – Actions taken when records are no longer legally or administratively required to be retained. The *dispositions* on the *Records Retention Schedule for Catholic Schools* are:

SHRED: Records contain sensitive information such as names and addresses, health information, and financial or payment information (such as checks or credit cards). Please dispose of records designated as SHRED in a secured shredding receptacle.

DELETE: Records contain electronic information that should be deleted from drives, desktops, or databases once they reach the end of their retention period.

RECYCLE: Records do **not** contain sensitive information and can be discarded in a paper recycling bin once they reach the end of their retention period.

SCHOOL ARCHIVES: Records have ongoing legal, fiscal, evidential, or historical value and should be retained in the SCHOOL ARCHIVES. For more information about establishing a SCHOOL ARCHIVES, contact [Archives & Records Management](#).

Legal Holds – See [LEGAL HOLDS: PRESERVING RECORDS DURING LITIGATION OR INVESTIGATIONS](#) p. 9.

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

Obsolete or Superseded – This is a *schedule* designation which means that it is up to the creator, keeper, or office of the record to decide when a particular record ceases to be useful or relevant. This period will inevitably vary from person to person and from office to office. It is the responsibility of the owner of the records or department to review these records annually to determine their usefulness.

Records Retention Schedule – A document that states how long particular records must be kept based on administrative and/or pastoral concerns as well as statutes, regulatory requirements, and best practices. It describes the records series number; records series title/description; and states the disposition (or method of destruction) of a particular records series. Some are deemed archival and must be retained in the archives permanently while others can be shredded, recycled, or deleted once they've reached the end of their retention period. **Before destroying any records, please consult [Archives & Records Management](#).**

Retention Period – The length of time needed to retain a certain record series. Based on the type of record, this time period can be as short as its *obsolescence* or as long as *separation of employee + 60 years*. For most retention periods, there are two parts: a cut-off (trigger) and the time period. A cut-off or trigger is the action portion of the period. It can be the *end of calendar year*, *end of fiscal year*, when *obsolete or superseded*, *life of equipment*, *resolution of case*, etc. It tells you when to start “counting.” The date portion is how long you should count after the action is completed. If a particular record's retention period is: *calendar year + 6 years*, then you would wait out the current calendar year and then wait another six years on top of that before disposing of the record.

Series Number – This column on the *records retention schedule* is the unique identifier for each record series. It is also the number needed when filling out Archives & Records Management forms such as the transfer form and destruction form.

APPENDIX A: LEGAL CITATIONS & REFERENCES DISCLAIMER

Extensive research has been done before assigning retention periods to diocesan records series in order to assure compliance with government regulations and best business practices. CCAS has used the following statutes and/or acts as resources in creating this retention policy, even if the provisions of those statutes and acts do not apply.

- **29 C.F.R. § 1607.4 (RET); 29 C.F.R. § 1602.13 (NS)** – Records as to racial or ethnic identity of employees. Employers may acquire the information necessary for completion of items 5 and 6 of Report EEO-1 either by visual surveys of the work force, or at their option, by the maintenance of post-employment records as to the identity of employees where the same is permitted by State law. In the latter case, however, the Commission recommends the maintenance of a permanent record as to the racial or ethnic identity of an individual for purpose of completing the report form only where the employer keeps such records separately from the employee's basic personnel form or other records available to those responsible for personnel decisions, e.g., as part of an automatic data processing system in the payroll department.
- **29 C.F.R. § 1620.32 (2 years)** – Employer subject to The Equal Pay Act must maintain records to the payment of wages, wage rates, job evaluations, job descriptions, merit systems, seniority systems, and description of practices or matter explaining basis for wage differential between employees of opposite sex.
- **29 C.F.R. § 1904.33 and § 1904.44**
- **ADEA 29 C.F.R. § 1627.3(b)(1) (CR+01)** – Job applications, promotions, demotions, test papers, transfer records; *Title VII of the Civil Rights Act §790(c)*; 29 C.F.R. § 1602.14.
- **Civil Rights Act of 1964 (Title VII) 29 C.F.R. § 1602.7 (NS)** – Copy of most recent EEO-1 report filed for each reporting unit must be retained at each such unit; 29 C.F.R. § 1607.4 (RET); 29 C.F.R. § 1602.13 (NS) – Records as to racial or ethnic identity of employees. Employers may acquire the information necessary for completion of items 5 and 6 of Report EEO-1 either by visual surveys of the work force, or at their option, by the maintenance of post-employment records as to the identity of employees where the same is permitted by State law. In the latter case, however, the Commission recommends the maintenance of a permanent record as to the racial or ethnic identity of an individual for purpose of completing the report form only where the employer keeps such records separately from the employee's basic personnel form or other records available to those responsible for personnel decisions, e.g., as part of an automatic data processing system in the payroll department.
- **FMLA 29 C.F.R. § 825.500(b) (CR + 3)** – Documents describing employee benefits or employer policies/practices concerning taking paid/unpaid leave.
- **Immigration and Nationality Act 8 U.S.C.A. § 1342a; 8 C.F.R. § 274a.2(b)(2)(A), (B), (c)2** (3 years after hire date or one year after termination; whichever is later).
- **RCW 4.16.080 (2)** Limitation of action for EEO discrimination complaints is 3 years.
The ADA, Rehabilitation Act, *Title VII of the Civil Rights Act*, and ADEA require employers to keep all resumes and job applications on file for one year. Because the ADEA further stipulates a two-year retention period for paperwork for individuals over the age of 40 (something that may be difficult to determine and is, of course, illegal to ask), retain all resumes and applications 2 years.

APPENDIX B: PERSONNEL FILES: EMPLOYEES

The following information is from Human Resources at the Archdiocese of Seattle:

Personnel files should contain:

- Safe Environment Program Church Personnel Agreement
- Background check, completed within the last 3 years
- HR Policies Agreement Form
- Employee emergency contact information
- Documentation of the current fiscal year employee pay rate (authorized, amount, effective date, and reason)
- Documentation of current Safe Environment Training

For regular employees and temporary employees on benefits, the file is also to contain:

- Letter of Employment Agreement
- Job description, signed by the employee and supervisor
- Performance evaluation completed within the last year

Form I-9 Employment Eligibility forms should not be filed in employee files, but rather in a separate binder or file.

The following policy is part of Archdiocese of Seattle Catholic School's [curriculum standards and resources](#):

Because of the confidential nature of some information regarding employees, and to meet with federal regulations, more than one file should be established for each employee. Three files are listed below. It is recommended that each file for an employee be a different color, to designate the purpose of the file. For proper management of files, all files regarding an employee should be housed in one location. If for any reason a file is housed in a different location, the main file (personnel file) should indicate where the other file is housed. In case of subpoena, all files must be produced. If files are subpoenaed, one person should be given responsibility for assembling and presenting the files.

1. Personnel file for current employees:

a. Directory of Information:

- Date of hire *
- Assignment; any subsequent changes in assignment *
- Address *
- Phone *
- Date of end of employment *

b. Employee Selection Data:

- Resume
- Archdiocesan application (includes: WSP form, employee disclosure statement and INS Employee Eligibility Verification form)
- Correspondence related to hiring (if any)
- Skill test results* (where applicable)

c. Certification:

- Signed "Safe Environment Church Personnel Agreement Form"
- Certificate for Safe Environment training.
- Teaching certificate
- Any required job permits (health card for kitchen worker, 1st aid card for daycare, etc.)
- Catechetical Certification

d. Academic Record:

- Record of college/university courses (transcripts). Do not keep the rest of the placement file.
- Transcripts for any additional coursework that determines salary increases

e. Notation of significant achievements

f. Performance documentation:

- Formal, signed evaluations
- Signed employee improvement plans

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

g. Miscellaneous:

- Record of accrued sick leave
- Current contract
- Copy of any employment dispute settlement that may have been assigned

** Only these items should be kept on file permanently after employment ends. The rest of the file should be kept for 6 years after employment ends.*

2. Principal's File:

- a. Records from employee application interview
- b. Pre-employment reference checks, indicate person contacted, phone number and summary statement
- c. Documentation regarding complaints, performance issues, etc.
- d. Any notes compiled as the result of possible or pending litigation. When an attorney has been contacted, these notes constitute a "work product file." Once a settlement agreement has been signed, these notes and the agreement are retained until the file is no longer active and for 7 years thereafter. At this point the agreement is placed in the personnel file. All supporting documentation is destroyed.

Note: These items do not belong in an employee's personnel file, which may be viewed by various supervisors. At the same time, it is important to note that the above items should be factual and may be subpoenaed. Destroy this file upon termination of employment unless legal action is pending.

3. Employee Medical File:

- a. All medical data
- b. Any materials related to FMLA or ADA
- c. Injury reports
- d. Disability leave reports

Note: These items must be kept separate from the employee's personnel file. Destroy this file upon termination of employment unless legal action is pending.

APPENDIX C: REVISIONS AND VERSIONS

| <i>Version</i> | <i>Date</i> | <i>Revisions</i> |
|----------------|-------------|---|
| 1.00 | 03/19/2012 | Implemented and authorized <i>Records Retention Schedule for Parishes, Catholic Schools & Faith Communities</i> |
| 1.01 | 01/21/2013 | Added GUIDELINES FOR MANAGING ELECTRONIC RECORDS OF SEPARATED EMPLOYEES |
| 1.02 | 09/04/2013 | Split <i>Records Retention Schedule for Parishes, Catholic Schools & Faith Communities</i> into two schedules: <i>Records Retention Schedule for Parishes & Faith Communities</i> and <i>Records Retention Schedule for Archdiocesan Catholic Schools</i> |
| 1.03 | 03/07/2014 | Annual Revision |
| 1.04 | 03/16/2015 | Annual Revision |
| 1.05 | 2016 | Annual Revision (throughout the year) |
| 1.06 | 2017 | Annual Revision (throughout the year) |
| 1.07 | 01/24/2018 | Annual Revision |
| 1.08 | 09/16/2019 | Annual Revision |
| 1.09 | 04/07/2021 | Annual Revision |

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

SUBJECT INDEX

A

absence
 students*See attendance, students*
 access
 key checkouts 26
 key logs 26
 accidents
 prevention manuals 22
 accounts
 chart of 28
 payable and receivable 27
 accreditation
 school 18
 admissions
 students*See students*
 ADP*See payroll*
 advertising *See marketing*
 agreements*See contracts*
 parents*See contracts*
 anniversaries 36
 annuals *See yearbooks*
 applications
 employees *See employees*
 extended day program 39
 meal assistance 31
 appointments
 canonical 21
 architectural plans *See construction*
 as-built drawings *See construction*
 athletics*See sports*
 attendance
 daycare 39

 students 17
 audits
 accounting 27
 authorizations
 extended day program *See applications, extended*
 day program
 field trips & retreats *See field trips*
 medical use *See health*
 parent (for counseling) 38

B

B & O taxes
 returns 31
 background checks 32
 bequests*See donations*
 blueprints *See construction*
 budget
 departmental 28
 development 28

C

calendars
 academic 17
 liturgies 34
 repertory 34
 repertory (music) 35
 catalogs
 library *See library*
 census 37
 certification of immunizations 40
 Chancery Weekly Mailings 23
 chart of accounts *See accounts*

circulation
 library *See library*
 complaints
 parents 33
 computer patches *See logs*
 constitutions & bylaws
 boards 20
 commissions 20
 committees 20
 councils 20
 lay groups 20
 constitutions & bylaws
 groups 20
 construction
 architectural plans 24
 drawings and plans 24
 project files 24
 contracts
 general 20
 independent contractors 20
 parents 39
 tuition 31
 warrants 20
 counseling
 authorizations 38
 intake and session 38
 logs 38
 course
 development *See trainings, development*
 materials *See trainings, materials*
 courses
 additions, deletions, and changes 17
 catalogs 17

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

curricula 17
 proposals..... 17
 curricula *See courses*

D

decrees
 of establishment 21
 directories
 parish & school 36
 disasters
 preparedness plan 22
 discipline
 students 39
 dispute resolution
 employees..... 32
 donations
 bequests, trusts, & endowments 28
 fundraising goals 29
 fundraising pledges 29
 matching gifts 29
 records 28

E

emergency
 drill reporting 23
 employees
 recruitment 34
 terminated parish employees 33
 terminated school employees 33
 employment
 eligibility 33
 endowments *See donations*
 equipment
 inventories *See inventories*
 events

agendas 21
 field trips *See field trips*
 permits and licenses 21
 planning 21
 registrations 21

F

Family Medical Leave Act (FMLA) 32
 field trips
 authorizations 21
 driver information 22
 financial aid 31
 firewall *See logs*
 fixed assets
 inventories *See inventories*
 fundraising *See donations*

G

grades
 books 18
 changes 18
 graduation lists 18
 grants 29
 guidelines *See policies & procedures*

H

handbooks
 parents and students 36
 health
 immunizations 40
 medical use 40
 students 40
 visitors *See logs, health room visitors*

Health Insurance Portability and Accountability Act
 (HIPAA)
 training certificates 33
 hiring
 employees *See employees*

I

incidents *See security*
 incorporation 21
 insurance
 liability 23
 internet traffic *See logs*
 inventories
 materials, equipment, and supplies 25
 records 25
 IRS *See taxes*

J

journals
 financial 27

K

keys *See access*

L

ledgers 27
 legal *See litigation*
 lesson plans 17
 library
 catalogs 35
 circulation 35
 licenses
 reprint (liturgical) 34

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

reprints 35
 usage 35
 usage (liturgical) 34
 litigation
 legal advice and opinions *See litigation*
 support 43
 work product 43
 logos 35
 logs *See technology implementations*
 computer patches 40
 counseling 38
 firewall 40
 health room visitors 40
 internet traffic 40
 keys *See access*
 network servers 40
 security rounds 27
 system backups 40
 telephone calls 37
 visitors 26

M

maintenance
 service requests 26
 manuals
 operating 26
 marketing 36
 Material Safety Data Sheets (MSDS) 25
 meal assistance 31
 meetings
 boards 20
 commissions 20
 committees 20
 councils 20
 lay groups 20
 routine office 19

memorabilia *See publications*
 music
 performances 35
 programs 35
 repertory 35

N

network servers *See logs*
 newspaper clippings
 historical 36

O

orders of celebration 34

P

parents
 complaints *See complaints*
 parking
 permits *See permits*
 payroll
 deduction requests 30
 registers 30
 reports 30
 permits
 events *See events*
 operating 26
 parking 26
 personnel files 33
 photographs 36
 yearbooks 37
 plans, architectural *See construction*
 police
 reports *See reports*
 policies & procedures

accounting 22
 Chancery created 22
 development 22
 parish and school created 22
 power of attorney 21
 preparedness
 disasters *See disasters*
 press releases 36
 procedures *See policies & procedures*
 programs
 baccalaureate and commencement 36
 handbills 34
 projects
 building 24
 general 19
 property and construction 24
 promotion *See marketing*
 publications 36

R

receipts
 cash 27
 reconciliations 28
 records
 inventories *See inventories*
 referrals
 psychological testing 38
 registers
 taxes 30
 wage 30
 registrations
 course (students) 39
 events *See events*
 releases
 child photo/media 20
 rental agreements *See contracts*

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

reports
 audits *See audits*
 general 37
 manual checks summary 30
 Material Safety Data Sheets (MSDS) *See MSDS*
 parish annual 37
 payroll *See payroll*
 playground incident 39
 police 27
 security *See security*
 website usage 41
 workers' compensation *See workers' compensation*
 requests
 service 26
 requisitions
 check 27

S

schedules
 maintenance 26
 scholarships 31
 scrapbooks 37
 security
 reports 27
 rounds 27
 sex offenders
 police notifications 26
 spiritual direction
 notes 37
 resources 37
 statistics 37
 sports
 certifications 38
 eligibility 38
 events 38

team rosters 38
 stop payments 27
 students
 admissions 39
 assesement 18
 assessment and placement 39
 class lists 39
 course registrations 39
 cumulative record 17
 discipline 39
 graduation lists *See graduation lists*
 health 40
 intent to register 39
 technology usage 39
 studies 37
 surveillance 27
 surveys 37
 system backups *See logs*

T

taxes
 B & O *See B & O*
 excise 31
 IRS 31
 registers 30
 teachers
 returning 33
 technology implementations *See logs*
 technology usage
 students *See students*
 tests
 assessment and placement 39
 psychological 38
 theatre
 programs 35
 timecards *See payroll*

titles
 vehicles *See*
 trainings
 development 42
 materials 43
 transcripts
 requests 18
 students *See students*
 Transitory Records 20
 trusts *See donations*
 tuition
 contracts 31

V

vehicles
 titles *See*
 void payments 27
 volunteers
 sign-ins 26

W

warranties *See contracts*
 warrants *See contracts*
 websites 37
 usage 41
 wire transfers 27
 work product
 litigation 43
 workers' compensation
 claims 34
 reports 34
 worship aids 34

RECORDS RETENTION SCHEDULE FOR ARCHDIOCESAN CATHOLIC SCHOOLS

Y

yearbooks 37

photographs..... 37